

# GRAF FINANCIAL L.L.C.

## Investment Management

### **CUSTODY POLICY**

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- Clients must forward all stock certificates directly to the broker/dealer firm.
- Checks made payable to the client for deposit into their brokerage account must be forwarded by the client directly to the broker/dealer firm.
- Checks made payable to the broker/dealer or custodian will be accepted by Graf Financial L.L.C. and forwarded directly to the broker/dealer within three business days of receipt.
- Cash deposits are not accepted.
- In cases where we inadvertently receive client assets, we are required to return securities and checks to the sender within three business days of receipt.

If you have any questions or concerns, please contact Graf Financial L.L.C. at 225-223-6048.